

Rising to the Challenge — Staying Ahead of the AML Curve



8:00–9:00 a.m.

Registration and Continental Breakfast

9:00–9:15 a.m.

Welcome Greeting and Emcee Remarks

Richard Gilbert, Vice President, Supervision, Regulation and Credit, Federal Reserve Bank of Richmond
John Blum, Managing Examiner, Supervision, Regulation and Credit, Federal Reserve Bank of Richmond

9:15–9:30 a.m.

Bridging the Gap

Donna Kitchen, Senior Vice President, Director of Operations and Corporate Project Management, Hampton Roads Bankshares Inc.

9:30–10:45 a.m.

Panel: Industry Perspective — Refining Your AML Program

Moderator: Donna Kitchen, Senior Vice President, Director of Operations and Corporate Project Management, Hampton Roads Bankshares Inc.

Panelist: Jackie Jernigan, Executive Vice President, Uwharrie Capital Corp.

Panelist: Bob Lacey, Senior Manager, AML Compliance and BSA Officer, RBC Bank

Panelist: John Podvin, Of Counsel, Haynes and Boone LLP

10:45–11:00 a.m.

BREAK

11:00 a.m.–12:00 p.m.

Panel: Protecting Your Bank — Best Practices for Investigations

Panelist: David B. Chenkin, Partner, Zeichner Ellman & Krause LLP

Panelist: Jennifer Harrod, Partner, Brooks Pierce Law Firm

12:00–1:15 p.m.

LUNCH

1:15–1:30 p.m.

Introduction of Keynote Speaker

Dan Soto, Chief Compliance Officer, Ally Financial

1:30–2:05 p.m.

Keynote Address

Jennifer Shasky Calvery, Director, Financial Crimes Enforcement Network (FinCEN)

2:05–3:05 p.m.

Panel: Cyber Banking — From Risk Assessment to Implementation

Moderator: John Blum, Managing Examiner, Supervision, Regulation and Credit, Federal Reserve Bank of Richmond

Panelist: Tony DaSilva, Senior Examiner, Federal Reserve Bank of Atlanta

Panelist: Scott Q. Nining, Senior Vice President — Director of Risk Management, Union First Market Bankshares Corporation

Panelist: Joe Soniat, Bank Secrecy Act Officer, Union First Market Bankshares Corporation

3:05–3:20 p.m.

BREAK

3:20–4:20 p.m.

Panel: The Regulatory Horizon

Moderator: Elaine R. Yancey, Managing Examiner, Supervision, Regulation and Credit, Federal Reserve Bank of Richmond

Panelist: Brandon Reddington, Sanctions Advisor, Office of Foreign Assets Control

Panelist: Koko Ives, Manager, BSA/AML Risk Section, Board of Governors of the Federal Reserve System

Panelist: Debra L. Novak, Chief, Anti-Money Laundering Section, Federal Deposit Insurance Corporation

4:20–4:30 p.m.

Closing Remarks

Jeffrey Deibel, Assistant Vice President, Supervision, Regulation and Credit, Federal Reserve Bank of Richmond



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