Regulatory Panel – An Examiner’s Perspective

BSA Coalition Anti-Money Laundering Conference
June 6, 2017
The views and opinions expressed here are those of the speakers. They do not represent an official position of the Federal Reserve Bank of Richmond or the Federal Reserve System.
Moderator: Elaine Yancey, Managing Examiner, Supervision, Regulation and Credit, Richmond Fed
Panelist: John Reardon, Legal & Compliance Risk Senior Associate, New York Fed
Panelist: Maria Rodriguez-Negron, Community Banking Organization Supervision Manager – BSA and IT Risk Specialists, Chicago Fed
Panelist: Danielle Warner, Bank Examiner, Kansas City Fed
Panel Topics

- AML Resources
- Stature of Compliance
- Risk Assessments
- Know Your Customer/Customer Due Diligence/Beneficial Ownership
- Data and Technology Issues
- Cyber/IT and BSA – Need to Partner

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Questions?