



Rising to the Challenge — Staying Ahead of the AML Curve

Welcome All Participants!

BSA Coalition Fall Webinar

Regulatory Focus and Priorities

September 24, 2020



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INTRODUCTIONS

Rick Small,
*Executive Vice President,
Director Financial Crimes
Truist*



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Webinar: Regulatory Focus and Priorities

Panelists



Thomas Lawler
Sr. Liaison Officer, Strategic
Operations Division
FinCEN



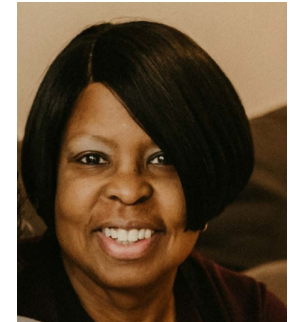
Tami Newett
Policy Specialist
OCC



Cindy Johnson
BSA Officer, Office of
Examination & Insurance
NCUA



Jennifer White
Lead BSA/AML Financial
Institution & Policy Analyst,
Board of Governors
FRS



Djuana McDaniel-Schmitz
Sr. Program Analyst, Anti-
Money Laundering Section
FDIC



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MODERATOR

Megan Hodge,
BSA Officer
Ally Bank



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The views and opinions expressed in this webinar are those of the speakers.

They do not represent an official position of any regulatory agency, financial institution, law firm or the BSA Coalition.



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Poll Question

What federal agency regulates your institution?

(NCUA, OCC, FDIC, FED, Not with a federally-regulated institution)



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Topics

- Shifts in Regulatory Priorities
- Key Regulatory Risks & Trends
- Pandemic Examination Approaches
- Recent & Future Policy Statements, Releases, and Initiatives
- Q&A
- Take-Aways



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Poll Question

Has your institution experienced COVID related fraud?
(Yes, No, Unsure)



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Poll Question

How have your virtual exams gone?

(Smooth, Some hiccups, Very challenging, Have not had an exam)



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Our Thanks to:
Our Panelists & Attendees



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We want to hear from you!

**Take 3-5 minutes to give your thoughts on today's session.
Follow-up email coming shortly.**

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**Note this is a closed group-we want our members be confident that this group will truly remain collaborative and industry specific-please no sales.*